IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lambert DANNER et al

Title: SPECTRAL ELLIPSOMETER
HAVING A REFRACTIVE
ILLUMINATING OPTICAL
SYSTEM,

Appl. No.: 09/893,709

Filing Date: 06/29/2001

Examiner: Unassigned

Art Unit: 2877

TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

     Attn: BOX MISSING PARTS

Sir:

     In response to the Notice to File Missing Parts of Application mailed on August 21, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

    [X]   Declaration and Power of Attorney (4 pages)

    [X]   Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate		Fee Totals
Basic Fee				$740.00		$740.00
Total Claims:	9	- 20	= 0	x $18.00	=	$0.00
Independents:	1	- 3	= 0	x $84.00	=	$0.00
If any Multiple Dependent Claim(s) present:				+ $280.00	=	$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee			+	$130.00	=	$130.00
				SUBTOTAL:	=	$870.00
			Small Entity Fees Apply (subtract ½ of above):		=	$0.00
				TOTAL FILING FEE:	=	$870.00
				TOTAL FEE	=	$870.00
Differenc to pay:				- $0.00	-	$870.00

-1-

[X]   Check in the amount of __$870.00__ in payment of surcharge fee
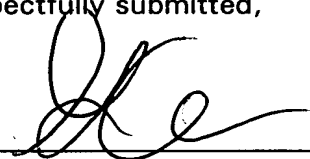(37 C.F.R. § 1.16(e))

[   ]   Please charge Deposit Account No. 19-0741 in the amount of __$0.00__
in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may
be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any
overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise
improper or informal or even entirely missing, the Commissioner is authorized to charge
the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: <u>October 22, 2001</u>   By _____

FOLEY & LARDNER   Glenn Law
Washington Harbour   Attorney for Applicant
3000 K Street, N.W., Suite 500   Registration No. 34,371
Washington, D.C. 20007-5109
Telephone: (202) 672-5426
Facsimile: (202) 672-5399

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,709	06/29/2001	Lambert Danner	016790-0430

Glenn Law
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, DC 20007-5109

CONFIRMATION NO. 5834
FORMALITIES LETTER

OC000000006450987

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/23/2001 TBESHAH1 00000011 09893709

01 FC:101   740.00 OP
02 FC:105   130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 840.**

A copy of this notice MUST be returned with the reply.

_____
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE